

| Form PTO 1449<br>(Modified)   |                 | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE  |   | ATTY DOCKET NO.<br>216745US0    | SERIAL NO.<br>10/068,849 |                            |
|---|-----------------|---|---|---------------------------------|--------------------------|----------------------------|
| LIST OF REFERENCES CITED BY APPLICANT<br><i>MAY 1 9 2004</i>            |                 | APPLICANT   |   | Bardo SCHMITT, et al.           |                          |                            |
|   |                 | FILING DATE   |   | February 11, 2002               |                          |                            |
|   |                 | U.S. PATENT DOCUMENTS   |   |                                 |                          |                            |
| EXAMINER INITIAL  | DOCUMENT NUMBER | DATE  | NAME  | CLASS                           | SUB CLASS                | FILING DATE IF APPROPRIATE |
| <i>PMA</i>  | AA 4 781 868    | 11/1/88   | Langerbeins (corresponds to DE 35 44 765)                 | —                               | —                        |                            |
| <i>PMA</i>  | AB 4 874 558    | 10/17/89  | Fife et al.   | —                               | —                        |                            |
| AC  |                 |   |   |                                 |                          |                            |
| AD  |                 |   |   |                                 |                          |                            |
| AE  |                 |   |   |                                 |                          |                            |
| AF  |                 |   |   |                                 |                          |                            |
| AG  |                 |   |   |                                 |                          |                            |
| AH  |                 |   |   |                                 |                          |                            |
| AI  |                 |   |   |                                 |                          |                            |
| AJ  |                 |   |   |                                 |                          |                            |
| AK  |                 |   |   |                                 |                          |                            |
| AL  |                 |   |   |                                 |                          |                            |
| AM  |                 |   |   |                                 |                          |                            |
| AN  |                 |   |   |                                 |                          |                            |
| FOREIGN PATENT DOCUMENTS  |                 |   |   |                                 |                          |                            |
|   | DOCUMENT NUMBER | DATE  | COUNTRY   | TRANSLATION                     |                          |                            |
| YES   | NO              |   |   |                                 |                          |                            |
| <i>PMA</i>  | AO SU 228016    | 5/12/69   | SOVIET UNION  |                                 | ✓                        |                            |
|   | AP DE 35 10 035 | 9/25/86   | GERMANY   |                                 | ✓                        |                            |
|   | AQ DE 35 44 765 | 6/19/87   | GERMANY (w/English Abstract; corresponds to US 4 781 868) |                                 | ✓                        |                            |
|   | AR FR 2 592 040 | 6/26/87   | FRANCE  |                                 | ✓                        |                            |
|   | AS DE 36 44 222 | 7/30/87   | GERMANY   |                                 | ✓                        |                            |
| <i>PMA</i>  | AT WO 95/32940  | 12/7/95   | WIPO  |                                 |                          |                            |
| AU  |                 |   |   |                                 |                          |                            |
| AV  |                 |   |   |                                 |                          |                            |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) |                 |   |   |                                 |                          |                            |
| <i>PMA</i>  | AW              | D. PLUSQUELLEC, et al., "A New Synthesis of Carboxylic and Carbonic Acid Anhydrides using Phase Transfer Reactions", TETRAHEDRON, vol. 44, No. 9, pp 2471-2476, 1988. |   |                                 |                          |                            |
|   | AX              |   |   |                                 |                          |                            |
|   | AY              |   |   |                                 |                          |                            |
|   | AZ              | <input type="checkbox"/> Additional References sheet(s) attached  |   |                                 |                          |                            |
| Examiner  | <i>Q.12</i>     |   |   | Date Considered <i>12/16/04</i> |                          |                            |

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.